

ISO 9001/14001/HACCP Certification Reimbursement Scheme

In order to enhance the competitive strength of the Micro & small scale sector, the Government introduced an incentive scheme since March, 1994 for their technological up gradation/quality improvement and environment management. The scheme provides incentive to those Micro & small scale/ancillary undertaking who have acquired ISO 9000/ISO 14001/HACCP certifications

ELIGIBILITY CRITERIA

- i. The Scheme provides one time reimbursement only to each unit; irrespective of the fact whether the concerned Micro & Small Enterprises has one or more than one Enterprises(s) within the same premises/ location or outside
- ii. The Scheme envisages reimbursement of charges of acquiring ISO-9000/ISO- 4001/HACCP certifications to the extent of 75% of the expenditure subject to a maximum of Rs. 75,000/- in each case.
- iii. The Micro & Small Scale enterprises (Manufacturing/ Service) having EM Part-II No. Acknowledgement is eligible to avail the Incentive Scheme – which can be obtained from DIC as per the MSMED Act, 2006. Applications received without EM Number will be rejected and Returned to the applicants.
- iii. The Scheme is applicable to those Micro & Small (Manufacturing/ Service) Enterprises who have already acquired ISO-9000/ISO-14001/ HACCP certification from NABCB registered Certifying Body.

List of Certification Bodies accredited to NABCB :

S.No.	Name of CB(ISO 9000)
1.	Det Norske Veritas
2.	TUV India Pvt.Ltd.
3.	BVQI (India) Pvt.Ltd. /Bureau Veritas Quality Certification Pvt.Ltd
4.	Integrated Quality Certification Pvt.Ltd. (for. Intel Quality Certification Pvt. Ltd.)
5.	American Global Standards India(AGSI Certification Pvt. Ltd.)
6.	Indian Register Quality Systems (Dept. of Indian Registrar of Shipping)
7.	ICRS Management Systems Pvt.Ltd.
8.	BSI Management Systems India Pvt.Ltd.(British Standards Institution)

9.	International Certification Services Pvt.Ltd.
10.	TUV Rheninland (India) Pvt.Ltd.
11.	TUV SUD South Asia Pvt.Ltd.
12.	NVT Quality Certification Pvt.Ltd.
14.	American Quality Assessors (India) Pvt.Ltd.
15.	Bureau of Indian Standards
16.	URS Certification Ltd.
17.	Moody ICL Certifications Ltd.
18.	Transpacific Certifications Ltd.
19.	Knowledge Partner QR Pvt.Ltd.
20.	QMS Certification Services Pvt.Ltd.
21.	Lloyd's Register Quality Assurance Ltd.(India Branch)
22.	Vexil Business Process Services Pvt.Ltd.
23.	NQA Certifications Pvt.Ltd.
24.	QSS, Quality Management Services
25.	QSI (India Certifications Pvt.Ltd.)
26.	RINA India Pvt.Ltd.
27.	SGS India Pvt.Ltd.
28.	Global Certifications Services
29.	NQAQSR Certification Pvt.Ltd.
30.	BSC International Certifications Co.
31.	SWISO India Pvt. Ltd.
32.	KBS Certification Services Pvt. Ltd.
33.	Intertek Testing Services India Pvt. Ltd.
Division Intertek Systems Certification	
34.	STQC Certification Services
35.	ISOQAR (India) Pvt. Ltd.
36.	GCAS Quality Certifications Pvt. Ltd.

B. For Environmental Management Systems Certification (ISO 14000-14001)

S. No.	Name of CB
1.	Det Norske Veritas, Certification Services, India
2.	TUV India Pvt.Ltd.
3.	International Certification Services (Asia)Pvt.Ltd
4.	BVQI (India) Pvt.Ltd. /Bureau Veritas Certification (India)Pvt.Ltd
5.	Indian Register of Shipping (Indian Register Quality Systems)
6.	NVT Quality Certification Private Ltd.
7.	Lloyd's Register Quality Assurance Ltd.(India Branch)
8.	Vexil Business Process Services Pvt.Ltd.
9.	TUV South Asia Pvt.Ltd.
10.	AQSR India Pvt.Ltd. (Accreditation Suspended WEF 26/08/08)
11.	NQAQSR Certification Pvt.Ltd.
12.	Integrated Quality Certification Pvt. Ltd. <i>Formerly known as Intal Quality Certification Pvt. Ltd</i>
13.	American Quality Assessors (India) Pvt. Ltd.

C. For Food Safety Management System Certification (HACCP)

S. No.	Name of CB
1.	BVQI (India) Pvt.Ltd. /Bureau Veritas Certification (India) Pvt.Ltd
2.	Foodcert India Pvt. Ltd

- v. The applicants from the State of Jammu & Kashmir are required to submit applications only with the Director MSME-DI, Industrial Area-B, Opposite Sangeet Cinema, Ludhiana (Punjab).
- vi. Applicants are required to enclose the Receipts and Invoice for payments made by them to the certifying bodies, consultants

and expenses on Calibration of Equipments also with other annexure.

- vii. Expenditure for the Consultancy & Training will also be reimbursable only if the services are availed from the consultants as listed below:
 - i. Consultants or consulting bodies registered with NRBPT
 - ii. TQM Division of Textiles Committee
 - iii. National Productivity Council (NPC)
 - iv. Consultancy Development Centre (CDC)
 - v. Small Industries Service Institute (Renamed as MSME Development Institute Ludhiana)
 - vi. CII
 - vii FICCI
 - viii ASSOCHAM

Application Format for Claiming Reimbursement of Certification Charges of acquiring ISO-9000/ISO-14001/HACCP Certificate under the Incentive Scheme

1. (a) Name and address of the unit

[Office & Factory Location (s).]

(b) Telephone No. Factory & Office

(c) E-mail & Fax

2. Details of EM Part II Acknowledgement No.;

Date of issue from Concerned DIC:-

(Enclose an attested copy)

3. Item (s) of manufacture/Processing

as indicated in the EM No. Part –II A/c.:-

4. Proof of Micro/SSI status and functional status of the unit as on the date of submission of Application The following document(s) to be submitted

i. A certificate (in original) from State DI/GM,DIC confirming Micro/SSI and functional status of the unit at the time of acquiring ISO-9000/ ISO-14001/HACCP certification; as on date as per Format at Annexure I.

OR

ii. An Affidavit (in original) from Managing Director/Director/Proprietor/Partner of the Micro/SSI unit duly sworn before a Notary Public confirming Micro/SSI status and functional status of the unit at the time of acquiring ISO-9000/ISO-14001/HACCP certification; and as on date, (As per Format **Annexure II**) accompanied by CA certificate of the total investment in plant & machinery as on date (original purchase value) (As per Format

Annexure III)

5. Details of ISO-9000/ISO-14001/HACCP Certificate

Name and address of Certification agency; The Certificate must have address of the site/location certified; Scope of certification, Certificate No , date of issue & period of validity (or date of expiry), **Name & Logo & Number of the Accreditation Board(NABCB)**. (Enclose an attested copy of the Certificate)

6. Details of expenditure incurred in acquiring ISO-9000/ ISO-14001/HACCP Certificate (excluding hotel & travel expenses & surveillance charges). Furnish a CA certificate of expenditure (in original) giving the details (as per the Format **Annexure IV**).

7. Details of reimbursement/grant/subsidy **already received**, if any, from Centre Govt (including DC(MSME) / State Govt./Financial Institution etc. for acquiring ISO-9000/ ISO-14001Certificate (Furnish, an Undertaking/declaration (in original) from the Managing Director/Director/ Proprietor/ Partner of the Micro & SSI/ ancillary units duly sworn before Notary Public (as per the Format **Annexure V**)

8. Pre-receipt to be furnished as per Format at **Annexure VI**.

9. CATEGORY of the Claimant/ MD/Proprietor : Genral/SC/ST:- (If SC/ ST then attach attested copy of certificate)

Declaration:

I (full name),S/o ofManaging Director/ Director/Proprietor/Partner of M/s. (complete address) hereby declare that the particulars given in the application are correct. In case any of the statement/information furnished in the application/documents later found to be wrong or incorrect or misleading, I do hereby bind myself and my unit to pay to the Government on demand the full amount received as reimbursement in respect of above mentioned activity, within seven days of the demand being made to me in writing. Name and Signature of Managing Director/ Director/Proprietor/Partner of Micro/SSI unit. (Full Name)

Note:

The copy of EM No. Part -II Acknowledgement & ISO-Certification must be attested by any one of the followings: (1)GM (DIC) or (2) Director, MSME-DI of the Region or (3) Chartered Accountant (with name of the signatory, CA Stamp, and CA Membership No.

Annexure 'I'

CERTIFICATE

This is to certify that M/s----- With their office at ----- & factory located at ----- EM Acknowledgement No. Part-II ----- dated-----is an Micro/SSI/SSS BE unit as per Govt. of India definition and

has been functional & in production at the time of acquiring ISO-9000/ISO-14001/HACCP Certification No----- dated-----and also continues to be functional & in production as on date. Dated Director of Industries/ GM (DIC) Name & Rubber Seal

Annexure 'II'

AFFIDAVIT**

I,-----
s/o----- Managing
Director/Direcor/Proprietor/Partner,* M/s.-----
-----with their Regd. Office at ----- & Factory
located at----- with EM
Acknowledgement No. Part-II -----dt.-----
do hereby solemnly affirm and declare as under:
i. The Company/Firm/Establishment has been a Micro/ SSI/ancillary/SSSBE* unit as per the Govt. of India definition; and has been functional & in production at the time of acquiring ISO-9000/ISO-14001/HACCP* certification No.----- dated-----
ii. The Company/Firm/Establishment continues to be a MSME/ancillary/SSSBE unit; and functional & in production as on date.
iii. As per books of account, the total investment (original purchase value) in plant and machinery in the Company/Firm/Establishment as on -----is Rs----- (Chartered Accountant Certificate dated-----to this effect is attached).
Signed on this day of-----dt-----

DEPONENT

VERIFICATION:

I do solemnly affirm that the contents of the Affidavit are true to the best of my knowledge & belief.

DEPONENT

Date:

Place:

(Note) * Strike out whichever is not applicable.

**On a Stamp paper (of Rs.10/- min) in Delhi /amount as applicable in the respective State duly sworn before a Notary Public(duly affixed with Notarial Stamp; and with Notary Seal; and Notary Registration number) or First Class Magistrate

Annexure 'III'

Certificate from Chartered Accountant about investment in Plant & Machinery (on C.A. Letter Head)

To Whom It May Concern

Verified from the Books of Accounts of
M/s.-----with their Regd. Office at
----- and Factory located at
-----and EM Acknowledgement No. Part-II
-----dt. -----that the total investment in
plant and machinery (original purchase value) of the company as on
date*----- stands as

Rs. _____ (Rupees _____)
_____)

Name & Signature of the
Chartered Accountant with stamp
and Membership number.

Place:

Date:

* Date of Application or 31st March of preceding financial year.

Annexure 'IV'

Certificate from Chartered Accountant in respect of Proof of Expenditure incurred for
acquiring

ISO-9000/ISO-14001 (on a C.A Letter Head)

To Whom It May Concern

The _____ documents & _____ records of
M/s. _____ with their Regd. office at
_____ and factory located at
_____ and _____ EM

Acknowledgement Part-II No. _____ dt.
_____) in respect of the expenditure incurred by them in acquiring

ISO-9000/ISO-14001/HACCP Certificate (or its equivalent) have been verified; and it
is certified that the said company have incurred a total expenditure of
Rs. _____ (Rupees _____)

towards ; Application fee; Assessment/Audit fee; Annual fee/Licence fee; Training;
Calibration; and Technical Consultancy etc. (excluding hotel & travel expenses &
Surveillance charges) in obtaining ISO-9000 /14001 from the Certification Agency
namely _____; as per the following details of payments:

Details of Payments (Name of Certification Agency/Orgn.) Amount paid(in Rupees)

- * a) Application Fee paid to -----
- * b) Assessment/Audit Fee paid to -----
- * c) Annual Fee/Licence Fee paid to -----
- d) Calibration charges paid to-----
- e) Technical Consultancy charges paid to-----
- f) Training expenses paid to-----

Total -----

Place-----

(Signature of the Chartered Accountant
with Name CA Stamp & CA
Membership Number)

Dated-----

* Payments at (a),(b) & (c) above should be supported by copies of receipts of
payments made to the ertification agency duly attested. The payment receipts
must indicate the purpose for which the payments have been made to the
Certification Agency.

Note: Payments made to the Certification agency directly shall only be eligible for
consideration of reimbursement.

Annexure 'V'

To be submitted by the Applicant on a Non-judicial Stamp paper of Rs. 50/-(Min) in
Delhi /amount as applicable in the respective State duly sworn before a Notary Public
(duly affixed with Notarial Stamp; and with Notary Seal & Notary Registration
number) or First Class Magistrate

UNDERTAKING/ DECLARATION

I,-----s/o-----Managing Director/Director/Proprietor/
Partner, M/s.-----with Regd. office at-----
& factory located at-----and with EM
Acknowledgement No. Part-II -----dt.----- do hereby solemnly affirm
and declare as under:

(a) (i) That the aforesaid Company/Firm/Establishment(s) have not availed
reimbursement/subsidy/grant/incentive for acquiring, ISO 9000/ISO-14001
Certification under any Scheme operated by Central Govt. (including o/o DCMSME,
M/o MSME)/ State Govt./ Financial Institution etc.

OR

(a) (ii) That the aforesaid Company/Firm/Establishment(s) have claimed & received
reimbursement/subsidy/ grant/incentive for acquiring ISO 9000/ISO-14001
Certification amounting to Rs_____ (Rupees _____ from
_____(Name of the Central Govt/State Govt Deptt./Financial Institution
vide draft/cheque No-----dt----- of----- (Name of Bank).

(b) (i) That the aforesaid Company/Firm/Establishment(s) have already applied
to----- Name of the Central Govt (other than O/o DCMSME)/ State Govt/ Financial
Institution) vide application dated-----for reimbursement/ subsidy/
grant/incentive for acquiring ISO- 9000/ISO-14001 Certification .

OR

(b) (ii) That the aforesaid Company/Firm/Establishment(s) have not applied to any
Central Govt./State Govt./ Financial Institution (except o/o DC(MSME), Ministry of
MSME), for reimbursement/subsidy/grant/incentive, for acquiring
ISO-9000/ISO-14000 Certification.

(c) That after availing reimbursement for ISO-9000/14001 Certification from Office
of DC(MSME), Ministry of MSME, in respect of the said
Company/Firm/Establishment(s), I shall disclose this fact on behalf of the said
Company/Firm/Establishment(s) at the time of claiming/ receiving reimbursement/
subsidy/grant/incentive, if any, under any other similar scheme run by Central
Govt./State Govt. /Financial Institutions etc..

(d) **I hereby solemnly affirm that the information given above is correct. In case
above declaration is found wrong or incorrect or misleading, I do hereby bind
myself & my unit and undertake to pay to the Government on demand the full
amount received as reimbursement in respect of above mentioned activity, within
seven days of the demand being made to me in writing.**

Partner/Proprietor/ Managing Director/ Director

In the presence of:

1. **(Full Name and addresses of the two witnesses also to be indicated along with
signatures)**

2.

**Note: The factual status as on date under the respective paras at (a); (b) & (c) above
must be clearly indicated**

Annexure 'VI'

Name of the Industry : _____

Address : _____

Phone Number : _____

PRE - RECEIPT (in Triplicate)

Received a sum of Rs. _____ (Rupees _____) from the Development Commissioner (MSME) towards the reimbursement of expenses incurred for obtaining International Quality Certification ISO - 9001 / ISO - 14001/HACCP. •

Rubber Stamp of the Unit

*Signature of the Authorized Person (on Revenue Stamp)

(B) FOR OFFICE USE ONLY

Passed for the payment for Rs. _____ (Rupees _____) Vide sanction No. _____ dated _____.

Asstt. Director(ISO)
MSME-Development Institute
Industrial Estate Ludhiana

Note: Please read the instructions given below carefully before filling this Annexure VI i.e. Pre- Receipt.

INSTRUCTIONS

Note: - Please follow the following instructions while Preparing this Annexure VI given in next page.

1. Please ensure you prepare the Annexure VI on the letter head of the unit preferably in A-4 size paper only.
2. Please ensure you give Annexure VI in triplicate.
3. Please do not fill in the amount in the pre-receipt. Leave the portion blank. The office of The Director concerned will fill it up after calculating the amount due to you.
4. Please ensure the authorized person of your unit signs at the places indicated for signatures of the authorized person on revenue stamp.
5. Please type portion 'B' yourself in the A-4 size paper as indicated in the format.
6. Office of the Director concerned will fill up the amount and the sanction no. in the spaces provided for the same.
7. The assistant Director concerned will sign at the place earmarked for his signature

Annexure 'VII'

Check List of documents to be annexed along with the Application for claiming reimbursement of expenses of ISO- 9000/14001 certification.

1. Copy of EM Acknowledgement No. Part-II duly attested by General Manager, District Industries Centre (DIC) or State Director of Industries or Director, MSME-Development Institute (Formerly Small Industries Service Institute) (MSME-DI) or Chartered Accountant (Name, Signature, Membership number; and Seal)
2. Letter (in original) from General Manager, District Industries Centre, (DIC) or Director of Industries confirming Micro/SSI status and functional status of the unit at the time of acquiring ISO-9000/14001 as per Format at Annexure I.

Or

3. An Affidavit(In original) on a non judicial stamp paper of Rs. 10 (Min.) in Delhi/Amount as applicable in the respective State) duly sworn before a Notary Public as per Annexure 'II'(with Notary Seal, Notarial Stamp & Noatry Registration no. ; and Chartered Accountant's Certificate of investment in Plant and machinery as per Annexure 'III'.
4. Copy of ISO-9000/ ISO- 14001/HACCP Certificate duly attested by General Manager, District Industries Centre (DIC) or State Director of Industries or Director, MSME Development Institute or Chartered Accountant (Name, Signature, Membership number and Seal.)

The Certificate must have address of site/location certified, scope of certification, Certificate No., date of issue, & period of validity (or date of expiry), Name & Logo & Number of the Accreditation Board(NABCB).

5. Chartered Accountant's certificate of the details of the Expenses incurred by the unit in acquiring ISO-9000/ISO-14001/HACCP Certificate in Annexure 'IV'. The payments made to the Certification agency **must be supported by copies of Receipts with Invoices duly attested** (The payments Directly made to the Certification Agency shall only be eligible for reimbursement)

6. Undertaking/Declaration of the Incentive/grant/subsidy already received, if any, in Annexure 'V' on a Non-Judicial stamp paper of Rs.50/-,(Min) in Delhi/Amount as applicable in the respective State. with witnesses name & their addresses &signatures, Notary Seal, Notarial Stamp & Notary Registration nos.)

7. Pre-receipt in Triplicate on Company's Letter head with company's Rubber Stamp and affixed with Revenue Stamp in Annexure 'VI'

CHECK LIST DOCUMENTS

- i) Copy of the EM Nos-II attested by GM/Director I&C State/ Director MSME DI /CA
- ii) Letter from GM DIC/CA/Director MSME DI
- iii) Affidavit in original certified by Notary
- iv) CA certificate regarding original purchase value of Plant & Machinery
- v) Copy of ISO 9000/14001/HACCP Certificate attested by GM DIC /Director I&C, State/Director MSME DI
- vi) CA Certificate of Expenditure incurred in obtaining ISO Certificate.
- vii) Blank Pre Receipt on Letter Head in triplicate (With revenue stamp & unit's Seal)
- viii) Payment receipt & invoices from Certifying /Consultancy Body (Attested by GM DIC/ Director I&C State/ Director MSME DI/ CA
- ix) Undertaking in original Certified by Notary